



Ubiquitous Strategy Portfolio

A Pence Capital Management, LLC Portfolio

Morningstar Equity Style Box™

	Value	Blend	Growth
Large			
Mid			
Small			

Ticketing Information

CUSIP (Cash/Reinvest)	00781L629 / 637
Fee-Based CUSIP (Cash/Reinvest)	00781L645 / 652
Ticker Symbol	UBQPWX

Essential Information

Unit price at inception (per unit)	\$10.000
Initial redemption price (per unit)	\$9.7750
Initial date of deposit	10/8/2021
Portfolio ending date	10/5/2023
Distribution frequency	Monthly, if any
Number of Holdings	21
Historical 12-Month Distribution Rate of Trust Holdings*	0.49%

*The distribution rate paid by the trust may be higher or lower than the amount shown above due to factors including, but not limited to, changes in the price of trust units, changes (including reductions) in distributions paid by issuers, changes in actual trust expenses and sales of securities in the portfolio. There is no guarantee that the issuers of the securities included in the trust will pay any distributions in the future. The Historical 12-Month Distribution Rate of Trust Holdings is calculated by taking the weighted average of the regular income distributions paid by the securities included in the trust's portfolio over the 12 months preceding the trust's date of deposit reduced to account for the effects of trust fees and expenses. The percentage shown is based on a \$10 unit price. This historical rate is for illustrative purposes only and is not indicative of amounts that will actually be distributed by the trust.

Sales Charges (Based on \$10 unit price)*

Standard Accounts	As a % of \$10 unit price	Amount per 100 units
Initial sales fee	0.00%	\$0.00
Deferred sales fee	2.25%	\$22.50
Creation & Development fee	0.50%	\$5.00
Maximum sales fee	2.75%	\$27.50

Fee-Based Accounts	As a % of \$10 unit price	Amount per 100 units
Maximum sales fee	0.50%	\$5.00

*The initial sales fee is the difference between the total sales fee (maximum of 2.75% of the unit offering price) and the sum of the remaining deferred sales fee and the total creation and development fee. The deferred sales fee is fixed at \$0.225 per unit with the first installment commencing on January 20, 2022 the second installment on February 20, 2022 and the final installment on March 20, 2022. The creation and development fee is fixed at \$0.05 per unit and is paid at the end of the initial offering period (anticipated to be approximately three months). When the public offering price per unit is less than or equal to \$10, you will not pay an initial sales fee. When the public offering price per unit price is greater than \$10 per unit, you will pay an initial sales fee. The initial and deferred sales fees may not apply to fee-based accounts. See the prospectus for more details about fee-based account eligibility requirements.

Investment Objective & Principal Investment Strategy

The Ubiquitous Strategy Portfolio is a unit investment trust (UIT) that seeks to provide capital appreciation by investing in a portfolio of stocks of companies that derive a substantial portion of their revenue worldwide and who Pence Capital Management, LLC (the "Portfolio Consultant") believe are involved in aspects of the transformation of consumer behavior and a shift in how people transact purchases.

The portfolio of stocks was selected by analyzing factors including: expected market dominance over the next three to five years, relative size within industry sectors based on market capitalization, steadiness of past earnings growth rates and revenue growth, strength of earnings and revenue projections, balance sheet strength, valuation and levels of cash holdings.

Key Points To Consider

- Innovations in electronics and information technology have made shopping easier using smart phones and tablets.
- Consumers no longer need to shop at brick and mortar stores; they can access products, read reviews and make purchasing decisions using the Internet.
- Consumers can fulfill desires spontaneously without going to brick and mortar stores. In fact, many brick and mortar stores are focused on investing significantly in e-commerce to stay competitive.
- Consumers are able to purchase products online, pay by credit card and have products delivered to their doorstep wirelessly.

Security Selection

- In selecting the securities for the portfolio, the increasing consumer demand and a shift in how people transact purchases was considered.
- Companies chosen for the portfolio represent among the largest in their sectors based on market capitalization, steady past earnings growth rates and/or revenue growth, strong revenue projections and balance sheet strength, favorable valuation, and significant cash.
- The portfolio derives a substantial portion of its revenue worldwide, including other major developed countries and fast-growing, emerging markets.
- The criteria for companies to be selected for the portfolio included expected market dominance of each company over the next three to five years or considered essential players in the same investment space.

Industry Breakdown*

	% of Portfolio
Information Technology	41.09%
Communication Services	29.86%
Consumer Discretionary	15.06%
Industrials	5.99%
Real Estate	4.02%
Consumer Staples	1.99%
Financials	1.99%

Source: Global Industrial Classification Standard (GICS®)

*As of 10/8/2021 and may vary thereafter. Breakdowns are based on the sources shown and may differ from any category definitions used in selecting the trust portfolio.

Portfolio Holdings (as of date of deposit)

Ticker Symbol	Issue Name	Market Value Per Share*
Communication Services (29.86%)		
GOOGL	Alphabet, Inc.	\$2,784.50
T	AT&T, Inc.	27.09
CHTR	Charter Communications, Inc.	741.95
CMCSA	Comcast Corporation	57.40
FB	Facebook, Inc.	329.22
TMUS	T-Mobile US, Inc.	123.18
VZ	Verizon Communications, Inc.	53.90
Consumer Discretionary (15.06%)		
AMZN	Amazon.com, Inc.	3,302.43
Consumer Staples (1.99%)		
WMT	Walmart, Inc.	139.24
Financials (1.99%)		
AXP	American Express Company	174.29

Ticker Symbol	Issue Name	Market Value Per Share*
Industrials (5.99%)		
FDX	FedEx Corporation	\$222.95
UPS	United Parcel Service, Inc.	181.60
Information Technology (41.09%)		
AAPL	Apple, Inc.	143.29
MA	Mastercard, Inc.	353.91
MSFT	Microsoft Corporation	294.85
PYPL	PayPal Holdings, Inc.	263.54
SHOP	Shopify, Inc.	1,398.50
SQ	Square, Inc.	249.16
V	Visa, Inc.	230.55
Real Estate (4.02%)		
AMT	American Tower Corporation	266.11
CCI	Crown Castle International Corporation	172.85

*As of 10/7/2021 and may vary thereafter.

Unit Investment Trusts (UITs) are sold only by prospectus. You should consider the trust’s investment objectives, risks, charges and expenses carefully before investing. Contact your financial professional or visit Advisors Asset Management online at www.aamlive.com/uit to obtain a prospectus, which contains this and other information about the trust. Read it carefully before you invest.

Risks and Considerations: Unit values will fluctuate with the portfolio of underlying securities and may be worth more or less than the original purchase price at the time of redemption. There is no guarantee that the objective of the portfolio will be achieved. Additionally, the trust may terminate earlier than the specific termination date as stated in the prospectus. Consult your tax advisor for possible tax consequences associated with this investment. An investment in this unmanaged unit investment trust should be made with an understanding of the risks associated therewith that includes, but is not limited to:

Common Stock: An investment in common stocks should be made with an understanding of the various risks of owning common stock, such as an economic recession and the possible deterioration of either the financial condition of the issuers of the equity securities or the general condition of the stock market.

Dividend Payment Risk: An issuer of a security may be unwilling or unable to pay income on a security. Common stocks do not assure dividend payments and are paid only when declared by an issuer’s board of directors. The amount of any dividend may vary over time.

Foreign Securities: Securities of foreign issuers held by the trust present risks beyond those of U.S. issuers. These risks may include market and political factors related to the issuer’s foreign market, international trade conditions, less regulation, smaller or less liquid markets, increased volatility, differing accounting practices and changes in the value of foreign currencies.

Information Technology Concentration: The trust is concentrated in stocks of companies in the information technology sector that are generally subject to the risks of rapidly changing technologies. Technology companies may be smaller and less experienced companies with stocks that have periodically experienced extreme price and volume fluctuations that are often unrelated to their operating performance.

Long-Term Strategy: Although this unit investment trust terminates in approximately two years, the strategy is long-term. Investors should consider their ability to pursue investing in successive portfolios, if available.

The Morningstar Equity Style Box™: This table provides a graphical representation of the investment style of a trust based on holdings as of the date of deposit which may vary thereafter. The Morningstar Equity Style Box™ placement is based on the Morningstar market capitalization classification (determined relative to other stocks in the same geographic area) of the stocks in the trust’s portfolio (vertical axis), and by comparing the growth and value characteristics of the stocks in the trust’s portfolio with growth and value factors developed by Morningstar (horizontal axis). Value, blend and growth are types of investment styles. Growth investing generally seeks stocks that offer the potential for greater-than-average earnings growth, and may entail greater risk than value or blend investing. Value investing generally seeks stocks that may be sound investments but are temporarily out of favor in the marketplace, and may entail less risk than growth investing. A blended investment combines the two styles. ©2021 Morningstar, Inc. All Rights Reserved. The information contained herein relating to the Morningstar Equity Style Box™: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

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