ADVISORS ASSET MANAGEMENT

AAM Solutions Guide

Registered Investment Advisors (RIAs)

CAPITAL MARKETS

FIXED INCOME

Contact **AAM Representative** for access or log in to AAM's website: www.aamlive.com.

Fixed Income Support

Market and credit insights and actionable trade ideas

Ability to trade with most custodians to ensure operations efficiency

Real-time monitoring and alerts of known bond positions

Customized portfolio analytics; review of existing positions and new portfolio creation

Private-label reporting

Access to all bond types from an extensive network of trading partners

- Municipal Bonds
- Taxable Bonds
  Agencies, CDs (callable and non-callable), Corporates, Mortgages, Treasuries, Zero Coupons, Preferreds, and New Issues

CLICK TO LEARN MORE >>

STRUCTURED PRODUCTS

Contact **AAM Representative** for access or log in to AAM's website: www.aamlive.com.

Structured Products Support

Custom-built structures that encourage issuer competition and transparent pricing

Sophisticated Trading Desk averaging over 14 years of experience

Secondary trading and liquidity with access to all major issuers, utilizing AAM balance sheets to make markets and position offerings

Operations and trade support with ability to trade with most custodians and DVP prime brokerage capabilities

Education, marketing, and due diligence support customized for the RIA

Extensive sales support throughout pre- and post-trade life-cycle

VIEW RIA CALENDAR >>

ASSET MANAGEMENT

EXCHANGE TRADED FUNDS (ETFs)

Available on most **custodian platforms**.

AAM ETF Family

- AAM Low Duration Preferred & Income Securities ETF (PFLD)
- AAM S&P 500 High Dividend Value ETF (SPDV)
- AAM S&P Developed Markets High Dividend Value ETF (DMDV)
- AAM S&P Emerging Markets High Dividend Value ETF (EEMD)
- AAM Transformers ETF (TRFM)

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MANAGED ACCOUNTS

Available on major custodian platforms, including **Envestnet**, **TD Ameritrade**, and **Schwab**.

AAM SMA Strategies

- AAM Conservative Taxable
- AAM Core Plus
- AAM Core Tax-Exempt
- AAM High 50® Dividend
- AAM Peroni Method®
- AAM Tactical Income

AAM Partner Strategies

- Brentview Dividend Growth
- Sawgrass Diversified Large Growth
- Todd International Intrinsic Value
- Washington Crossing* Rising Dividend

CLICK TO LEARN MORE >>

MUTUAL FUNDS

Available on most **custodian platforms**.

AAM Fund Family

- AAM/HIMCO Short Duration Fund (ASDAX | ASDCX | ASDIX)
- AAM/Insight Select Income Fund (CPUAX | CPUCX | CPUIX)

CLICK TO LEARN MORE >>

UNIT INVESTMENT TRUSTS (UITs)

AAM is the #2 UIT provider in the nation, with over 60 active offerings.

Available on most **custodian platforms**.

- AAM UIT Partners
  C.J. Lawrence, Cohen & Steers, HIMCO, Pence Capital Management, Peroni Portfolio Advisors, Sawgrass Asset Management, Todd Asset Management, Washington Crossing Advisors

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Registered Investment Advisors (RIAs)

All products mentioned may not be available at your firm. Please contact your AAM representative for more information.
All investing involves risk, including the possible loss of principal.

Unit Investment Trusts: *Unit Investment Trusts (UITs) are sold only by prospectus. Contact AAM to request a prospectus or visit www.aamlive.com/UIT for more information.* Unit values will fluctuate with the portfolio of underlying securities and may be worth more or less than the original purchase price at the time of redemption. There is no guarantee that the objective will be achieved. Additionally, the trust may terminate earlier than the specified termination date as stated in the prospectus. An investment in any UIT should be made with an understanding of its risks.

Separately Managed Accounts: Each account will be managed on an individual basis and will have variations. Investment return and principal value will fluctuate and there can be no assurance that the portfolio's objective will be achieved. Past performance does not guarantee comparable future results. Actual returns may be lower.

AAM may act as a third-party marketing agent for certain strategies and does not act as investment adviser to those strategies.

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Mutual Funds: *You should carefully consider the investment objectives, risks, management fees, and charges and expenses of the Fund before investing. The Funds' prospectuses and summary prospectuses contains this and other information about the Funds and should be read carefully before investing. You may obtain a current copy of each of the Fund's prospectuses and summary prospectuses by calling 888.966.9661.*

AAM acts as investment advisor to the Funds. HIMCO serves as sub-advisor to the AAM/HIMCO Short Duration Fund. Insight North America LLC (INA) serves as sub-advisor to the AAM/Insight Select Income Fund. None of these firms are affiliated with AAM or IMST Distributors or any of their affiliates. These funds are distributed by IMST Distributors, LLC.

Exchange-Traded Funds (ETFs): *The funds' investment objectives, risks, charges and expenses must be considered carefully before investing. Each fund's statutory and summary prospectus contains this and other important information about the investment company and may be obtained by calling 800.617.0004 or visiting www.aamlive.com. Read it carefully before investing.*

AAM ETFs are distributed by Quasar Distributors, LLC. Quasar, IMST and AAM are not affiliated.

Structured Products: *Structured products are sold only by prospectus. Investors should read the prospectus and pricing supplement carefully before investing which contains a detailed explanation of the risks, tax treatment, and other relevant information about the investment. Investors should consult their accounting, legal, or tax advisor.*

Not FDIC Insured. Not Bank Guaranteed. May Lose Value.

All information contained herein is current as of April 30, 2024 and subject to change.

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Let's Get Started!

Contact AAM to learn more about our investment solutions and support service.

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