



Advisors Asset Management Introduces Actively Managed Small/Mid Cap Income Growth ETF

MONUMENT, COLO. — September 14, 2021 — [Advisors Asset Management](#) (AAM), a leading investment solutions provider, announced today the launch of its [AAM Bahl & Gaynor Small/Mid Cap Income Growth ETF \(NYSE: SMIG\)](#), AAM’s first transparent, actively managed ETF.

The AAM Bahl & Gaynor Small/Mid Cap Income Growth ETF, sub-advised by [Bahl & Gaynor Investment Counsel](#), seeks to provide current and growing income combined with attractive risk-adjusted returns over a full market cycle by actively selecting high-quality dividend-paying equities. SMIG gives investors exposure to small and mid-capitalization companies that possess strong competitive advantages and look to compound dividends and cash flows at attractive rates over time.

“SMIG offers investors a powerful combination of the growth attributes of smaller capitalization stocks with the added benefits of high-income generation potential,” said **Lance McGray, Managing Director and Head of ETF product at AAM**. “Through a consistent investment process, SMIG is designed to provide income generation, downside protection and total return by investing in high-quality securities with sustainable advantages and an active approach to risk management at the portfolio level.”

SMIG is AAM’s fifth installment to its ETF suite, which has grown 215% year-to-date. As a firm, AAM has provided financial professionals with sound investment solutions for over 40 years. For additional information on AAM’s ETF solutions, please visit <http://www.aamlive.com/ETF>.

AAM has over 265 employees across 11 offices countrywide and oversees more than \$41.8 billion in assets as of June 30, 2021.*

About Advisors Asset Management

For over 40 years, AAM has been a trusted resource for financial professionals. It offers access to UITs (unit investment trusts), open- and closed-end mutual funds, separately managed accounts (SMAs), structured products, the fixed income markets, portfolio analytics and exchange-traded funds (ETFs). For more information, visit www.aamlive.com.

The Funds’ investment objectives, risks, charges and expenses must be considered carefully before investing. The statutory and summary prospectuses contain this and other important information about the investment company, and may be obtained by calling 800.617.0004 or visiting www.aamlive.com. Read it carefully before investing.

Risks: Investing involves risk, including the possible loss of principal. Shares of any ETF are bought and sold at market price (not NAV) and may trade at a discount or premium to NAV. Shares are not individually redeemable from the Fund and may be only be acquired or redeemed from the fund in creation units. Brokerage commissions will reduce returns.



There are no guarantees that a company will pay or continually increase its dividends. The Fund invests in small- and mid-capitalization companies which may be more vulnerable to adverse issuer, market, political, or economic developments than securities of large-capitalization companies. Smaller-capitalization companies generally trade in lower volumes and are subject to greater and more unpredictable price changes. ADRs (American Depositary Receipts) involve risks similar to those associated with investments in foreign securities, such as changes in political or economic conditions of other countries and changes in the exchange rates of foreign currencies. Investments in REITs (real estate investment trusts) involve unique risks. REITs may be affected by changes in the value of their underlying properties or economic factors affecting the real estate industry. REITs may have limited financial resources, may trade less frequently and in limited volume, and may be more volatile than other securities.

Advisors Asset Management, Inc. (AAM) is a SEC-registered investment advisor and member FINRA/SIPC. AAM ETFs are distributed by Quasar Distributors, LLC. Quasar and AAM are not affiliated. | 18925 Base Camp Road | Monument, CO 80132 | www.aamlive.com

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CONTACT: Matthew Bono
JConnelly
(973) 590-9110
mbono@jconnelly.com