



Advisors Asset Management Launches Disruptive Technology Themed ETF: TRFM

MONUMENT, COLO. — July 12, 2022 — [Advisors Asset Management](#) (AAM), a leading investment solutions provider, announced today the launch of its [AAM Transformers ETF \(NYSE: TRFM\)](#), AAM's first thematic ETF.

TRFM seeks to track the investment results of the Pence Transformers Index, a rules-based methodology, that aims to target companies whose products and services show compelling potential to transform consumer behavior, technological innovation and the global economy.

"While new technologies are being introduced all the time, not all of them will be disruptive. It takes a specific investment approach and process to identify companies that have the potential to be disruptive," said **Lance McGray, Managing Director and Head of ETF product at AAM.** "We feel that TRFM's distinct investment process, paired with the low-cost, tax-efficient ETF wrapper, may be a great solution for growth seeking investors."

TRFM is AAM's sixth installment to its ETF suite, which has grown nearly 55% year-to-date. As a firm, AAM has provided financial professionals with sound investment solutions for over 40 years. For additional information on AAM's ETF solutions, please visit <http://www.aamlive.com/ETF>.

AAM has over 250 employees across 11 offices countrywide and oversees more than \$44.0 billion in assets as of March 31, 2022.*

About Advisors Asset Management

For over 40 years, AAM has been a trusted resource for financial professionals. It offers access to unit investment trusts (UITs), open- and closed-end mutual funds, separately managed accounts (SMAs), structured products, the fixed income markets, portfolio analytics and exchange-traded funds (ETFs). For more information, visit www.aamlive.com.

The Funds' investment objectives, risks, charges and expenses must be considered carefully before investing. The statutory and summary prospectuses contain this and other important information about the investment company, and may be obtained by calling 800.617.0004 or visiting www.aamlive.com. Read it carefully before investing.

*Assets under supervision represent \$7.1 billion in UIT assets. The firm has \$33.0 billion in assets under administration that represents the non-proprietary assets for which AAM provides various levels of service, but not management. The firm's \$3.9 billion in assets under management represents AAM's proprietary separately managed account, mutual fund and ETF assets.

Risks: Investing involves risk, including the possible loss of principal. Shares of any ETF are bought and sold at market price (not NAV) and may trade at a discount or premium to NAV. Shares are not individually redeemable from the Fund and may only be acquired or redeemed from the fund in creation units. Brokerage commissions will reduce returns. Information Technology Sector Risk: Market or economic factors impacting information technology companies and companies that rely heavily on technological advances could have a significant effect on the value of the Fund's investments. The value of stocks of information technology companies and companies that rely heavily on technology is particularly vulnerable to rapid changes in technology product cycles, rapid product obsolescence, government



regulation and competition, both domestically and internationally, including competition from foreign competitors with lower production costs. Stocks of information technology companies and companies that rely heavily on technology, especially those of smaller, less-seasoned companies, tend to be more volatile than the overall market. Information technology companies are heavily dependent on patent and intellectual property rights, the loss or impairment of which may adversely affect profitability. Market Capitalization Risk: The fund invests in large- and mid-capitalization companies. The securities of mid-capitalization companies may be more vulnerable to adverse issuer, market, political, or economic developments than securities of large-capitalization companies. ADR Risk: ADRs involve risks similar to those associated with investments in foreign securities, such as changes in political or economic conditions of other countries and changes in the exchange rates of foreign currencies. Models and Data Risk: The composition of the Index is heavily dependent on proprietary quantitative models as well as information and data supplied by third parties (“Models and Data”). New Fund Risk: The Fund is a recently organized investment company with no operating history. As a result, prospective investors have no track record or history on which to base their investment decision. Portfolio Turnover Risk: The Fund may trade all or a significant portion of the securities in its portfolio in connection with each quarterly rebalance and reconstitution of its Index.

Advisors Asset Management, Inc. (AAM) is a SEC-registered investment advisor and member FINRA/SIPC. AAM ETFs are distributed by Quasar Distributors, LLC. Quasar and AAM are not affiliated. | 18925 Base Camp Road | Monument, CO 80132 | www.aamlive.com

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